

TFW



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 1645
Brent Karsten JACOBSEN, <i>et al</i>)	Docket No. 006090.00017
Serial No. 10/532,879)	Confirmation No: 9328
Filed: April 25, 2006)	

For: T CELL RECEPTOR DISPLAY

REQUEST FOR CORRECTED FILING RECEIPT

U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Dear Sir:

Please issue a corrected filing receipt for this application. A copy of the original receipt with the errors noted in red accompanies this request. A copy of the declaration, as filed, and our postcard receipt are also enclosed.

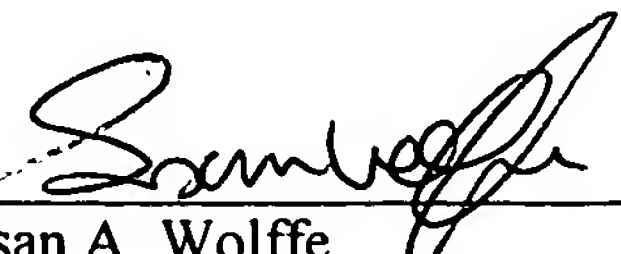
Under: **FOREIGN APPLICATIONS** please change

4th cited application, UNITED KINGDOM from 3113456.5 to -- **316356.5** --

We believe no fee is due in connection with this request. If a fee is due, please charge our Deposit Account No. 19-0733.

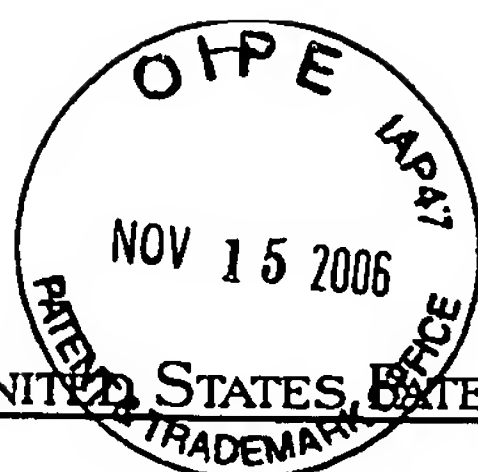
Respectfully submitted,

BANNER & WITCOFF, LTD.

By: 
Susan A. Wolffe
Registration No. 33,568

Date: 11/15/06
Banner & Witcoff Ltd
Customer Number: 22907
SAW:sdm

SQU/INTL



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/532,879	04/25/2006	1646	4530	006090.00017	44	85	2

22907
 BANNER & WITCOFF
 1001 G STREET N W
 SUITE 1100
 WASHINGTON, DC 20001

RECEIVED

SEP 27 2006

CONFIRMATION NO. 9328

CORRECTED FILING RECEIPT



OC000000020547487

BANNER & WITCOFF, LTD.

Date Mailed: 09/22/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bent Karsten Jakobsen, Oxfordshire, UNITED KINGDOM;
 Torben Bent Andersen, Oxfordshire, UNITED KINGDOM;
 Peter Eamon Malloy, Oxfordshire, UNITED KINGDOM;
 Yi Li, Oxfordshire, UNITED KINGDOM;
 Jonathan Michael Boulter, Oxfordshire, UNITED KINGDOM;

DOCKETED

006090-00017

SEP 27 2006

AR

Assignment For Published Patent Application

AVIDEX LIMITED, Abingdon, Oxfordshire, UNITED KINGDOM

Power of Attorney: The patent practitioners associated with Customer Number 22907.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/04636 10/30/2003
 which claims benefit of 60/463,046 04/16/2003

Foreign Applications

UNITED KINGDOM 0226227.7 11/09/2002
 UNITED KINGDOM 0301814.0 01/25/2003
 UNITED KINGDOM 0311397.4 05/16/2003
 UNITED KINGDOM 0313656.5 07/11/2003
 UNITED KINGDOM 0304067.2 02/22/2003

-- 316356.5 --

If Required, Foreign Filing License Granted: 08/04/2006

The country code and number of your priority application, to be used for filing abroad under the Paris

Convention, is **US10/532,879**

Projected Publication Date: 11/16/2006

Non-Publication Request: No

Early Publication Request: No

Title

T cell receptor display

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



B&W 006090.00017

Prior United States Application(s)

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing (Day, Month, Year)	Status — Patented, Pending, Abandoned

Power of Attorney

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the practitioners at:

Customer Number: 22907 (WDC)

Please address all correspondence and telephone communications to the address and telephone number for this Customer Number.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature *Bent Karsten Jakobsen* Date 30th March 2006
Full Name of First Inventor JAKOBSEN Bent Karsten
Family Name First Given Name Second Given Name
Residence Oxfordshire, Great Britain Citizenship Denmark
Post Office Address Avidex Limited, 57C Milton Park, Abingdon, Oxfordshire OX14 4RX, Great Britain

Signature _____ Date _____
Full Name of First Inventor ANDERSEN Torben Bent
Family Name First Given Name Second Given Name
Residence Skanderborg, Denmark Citizenship Denmark
Post Office Address Avidex Limited, 57C Milton Park, Abingdon, Oxfordshire OX14 4RX, Great Britain

Signature *Peter Mollo* Date 30 March 2006
Full Name of First Inventor MOLLOY Peter Eamon
Family Name First Given Name Second Given Name
Residence Oxfordshire, Great Britain Citizenship Ireland
Post Office Address Avidex Limited, 57C Milton Park, Abingdon, Oxfordshire OX14 4RX, Great Britain

Signature *Li Yi* Date 30-03-2006
Full Name of First Inventor LI Yi
Family Name First Given Name Second Given Name
Residence Oxfordshire, Great Britain Citizenship Great Britain
Post Office Address Avidex Limited, 57C Milton Park, Abingdon, Oxfordshire OX14 4RX, Great Britain

Signature *Jonathan Boulter* Date 30th March 2006
Full Name of First Inventor BOULTER Jonathan Michael
Family Name First Given Name Second Given Name
Residence Blackwood, Wales Citizenship Great Britain
Post Office Address Avidex Limited, 57C Milton Park, Abingdon, Oxfordshire OX14 4RX, Great Britain

JOINT DECLARATION AND POWER OF ATTORNEY PCT U.S. NATIONAL PHASE APPLICATION

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names;

We believe we am the original and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **T CELL RECEPTOR DISPLAY**, the specification of which

- ☐ is attached hereto.
- ☒ was filed on April 28, 2005 as Application Serial Number 10/532,879 and was amended on April 28, 2005.
- ☒ was filed under the Patent Cooperation Treaty (PCT) and accorded International Application No. **PCT/GB2003/004636**, filed **30 October 2003**, and amended _____ (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We hereby acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application No.	Date of Filing (day month year)	Date of Issue (day month year)	Priority Claimed Under 35 U.S.C. §119
Great Britain	0226227.7	9 November 2002		Yes
Great Britain	0301814.0	25 January 2003		Yes
Great Britain	0304067.2	22 February 2003		Yes
Great Britain	0311397.4	16 May 2003		Yes
Great Britain	0316356.5	11 July 2003		Yes

Prior United States Provisional Application(s)

We hereby claim priority benefits under Title 35, United States Code, §119(e)(1) of any U.S. provisional application listed below:

U.S. Provisional Application No.	Date of Filing (day month year)	Priority Claimed Under 35 U.S.C. §119(e)(1)
60/463,046	16 April 2003	Yes

PCT RO/US BOX PCT

B&W # 006090.00017 Atty/Sec SAW/SDEM Date Apr. 25, 2006
(Corresponding to U.S. Serial No.: _____ Filed: _____ (B&W# _____))
in re International Application of JAKOBSEN et al
International Application No. PCT/GB03/004636 (10/532,879)
Title T Cell Receptor Display

The following has been received in the U.S. Receiving Office on the date stamped hereon:

☐ New PCT Application & Fee, including:

☐ Transmittal Letter: 1 Sheet

☐ Request: #of Sheets _____ Replacements ☐ YES ☐ NO

☐ Fee Calculation Sheet: Original + 1 copy

☐ Description: #of Shts _____ Replacements ☐ YES ☐ NO

☐ Claims: #of Sheets _____ Replacements ☐ YES ☐ NO

☐ Abstract: 1 Sheet Replacement ☐ YES ☐ NO

☐ Drawings: ☐ Informal ☐ Formal #of Sheets _____
Replacements ☐ YES ☐ NO

☐ Executed Power(s) of Attorney: #of POA(s) _____

☐ Sequence Listing: # of Sheet(s) _____

☐ Req. for Ext. of Time to Correct Defects until _____

☐ Response to Invitation to Correct Defects

☐ Chapter II Demand & Fee # of sheets in Demand _____

☐ Article 19 Amendment

☐ Response to Written Opinion

☐ U.S. Nat'l Phase of PCT Application, including:

☐ Specification: # of pages of description and claims _____
in English Translation

☐ Drawings : ☐ Formal ☐ Informal
of distinct sheets _____ : Figs. _____

☒ Declaration/PoA : ☒ Executed ☐ Unexecuted

☒ Assignment w/PTO Cover Sheet

☐ Small Entity Declaration

☐ IDS w/PTO 1449 ☐ References

☐ Preliminary Amendment

☐ IPER w/English Translation of Annex(es)

☐ International Search Report (ISA/ _____)

Charge to:

☒ Check # 19-0733 for \$ 170.⁰⁰

☒ Response to NFR

☒ Copy of NFR

~~BBW Rev. 10/00~~

PCT RO/US BOX PCT
SAW/SA

PCT RO/US BOX PCT

Inspected By: _____ Date Apr. 25, 2006
(B&W# _____)

B&W # 006090.00017 Atty/Sec SAW/Scdm Filed: _____

(Corresponding to U.S. Serial No.: _____)

In re International Application of JAKOBSEN et al

International Application No. PCT/GB03/004636 (10/532,879)

Title T Cell RECEPTOR DISPLAY

_____ Office on the date stamped hereon:

International Title T Cell Receptor Distinct

The following has been received in the U.S. Receiving Office on the date stamped hereon:

☐ New PCT Application & Fee, including:

☐ Transmittal Letter: 1 Sheet

☐ Request: #of Sheets _____ Replacements ☐ YES ☐ NO

☐ Fee Calculation Sheet: Original + 1 copy

☐ Description: #of Shts _____ Replacements ☐ YES ☐ NO

☐ Claims: #of Sheets _____ Replacements ☐ YES ☐ NO

☐ Abstract: 1 Sheet

☐ Drawings: ☐ Informal ☐ Formal #of Sheets _____

☐ Executed Power(s) of Attorney: #of POA(s) _____

☐ Sequence Listing: # of Sheet(s) _____

☐ Req. for Ext. of Time to Correct Defects until _____

☐ Response to Invitation to Correct Defects

☐ Chapter II Demand & Fee # of sheets in Demand _____

☐ Article 19 Amendment

☐ Response to Written Opinion

☐ U.S. Nat'l Phase of PCT Application, including:

☐ Specification: # of pages of description and claims _____

☐ In English Translation

☐ Drawings : ☐ Formal ☐ Informal # of distinct sheets _____ : Figs. _____

☒ Declaration/PoA : ☒ Executed ☐ Unexecuted

☒ Assignment w/PTO Cover Sheet

☐ Small Entity Declaration

☐ IDS w/PTO 1449 ☐ References

☐ Preliminary Amendment

☐ IPER w/English Translation of Annexes

☐ International Search Report (ISA) _____

Charge to : _____

☒ Check # 19-0733 for \$ 170.00

☒ Response to NFR

☒ Copy of NFR

☐ _____

APR 25 2006

U.S. PATENT AND TRADEMARK OFFICE

B&W Rev.

~~B&W Rev. 10/00~~